

INAUGURAL YEAR HIGHLIGHTS

\$20 BILLION IN 2020

It's hardly an overstatement to say that, for many of us, 2020 will be remembered one of the strangest — and most stressful — years of our lives. As we look ahead with optimism for better days in 2021, we find ourselves grateful for the many people that contributed to NCP's success in its inaugural year of operation.

NCP IS PLEASED TO HAVE
INVESTED IN TWO PREEMINENT
RIAS WITH AGGREGATE
CLIENT ASSETS OF
APPROXIMATELY **\$20 BILLION**.

These opportunities would not have been possible without the trust and support of the management teams of Stratos Wealth Partners and Pure Financial Advisors, as well as their minority investor, Emigrant Partners. We look forward to years of collaboration with both firms, as well as with our future portfolio companies.

"May you and your family enjoy peace, safety, and success in 2021 and beyond."



has acquired a
minority stake in



September 2020



has acquired a
minority stake in



October 2020

NCP In the News

- ▶ WealthTech 2.0: Bank of America Strikes Again (**Forbes**)
- ▶ A Hotshot Canadian CEO Rips through the RIA Market Like a Polar Vortex (**RIABiz**)
- ▶ CI Financial's Spending Spree: What It Says about U.S. Wealth Management (**Family Wealth Report**)
- ▶ Peter Nesvold Buys into \$2.7Bn Cali RIA (**Citywire**)
- ▶ Nesvold Capital Makes Its Second RIA Deal (**ThinkAdvisor**)
- ▶ Direct Indexing to Challenge ETFs' Dominance (**Forbes**)
- ▶ The Financial Devastation of COVID-19: Here's How to Help (**Forbes**)
- ▶ Peter Nesvold Takes Stake in Stratos through New RIA Investing Biz (**Citywire**)
- ▶ The Emperor Has No Clothes! Are Investors Misinformed About Private Equity Returns? (**Forbes**)
- ▶ Steve Cohen Is Better for the Mets than A-Rod: A Lesson in Organizational Dynamics in Turnarounds (**Forbes**)
- ▶ Thanks to a Minor Explosion of Minority-Stake Deals, RIA M&A Is Still Sizzling but It May Be Seller Beware (**RIABiz**)
- ▶ Antitrust Hawks Spot New Prey through COVID-19 Eyes (**CNBC**)

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